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| O/P                                 | APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|-------------------------------------|--------------------|---------------------|-----------------------|------------------------|
| APR 02 2001<br>PATENT TRADES<br>O/P | 09/698,368         | 10/30/2000          | Ram B. Gupta          | 318-332                |

20582  
 PENNIE & EDMONDS LLP  
 1607 K STREET NW  
 SUITE 1000  
 WASHINGTON, DC 20006

**CONFIRMATION NO. 5108**

**FORMALITIES LETTER**



\*OC00000005719508\*

Date Mailed: 01/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*Customer Service Center*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/03/2001 FFANAEIA 00000045 161150 09698368

01 FC:115 110.00 P.H

04/03/2001 FFANAEIA 00000045 161150 09698368

02 FC:105 130.00 P.H



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: R. GUPTA et al.

Application No.: 09/698,368

Group Art Unit: 1714

Filed: October 30, 2000

Examiner: Unassigned

For: NON-YELLOWING ORTHO-DIALKYL  
SUBSTITUTED TRIAZINE  
ULTRAVIOLET LIGHT ABSORBERS

Attorney Docket No.: 0318-332

### **RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

#### **ATTENTION: BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed January 30, 2001, a copy of which is enclosed, submitted herewith and attached to a copy of the application as filed is the Declaration For Non-Provisional Patent Application for the subject application which has been executed by the applicants, **Ram B. GUPTA, Hargurpreet SINGH, Russell C. CAPPADONA, Mark PATERNA, and Al WAGNER**. Also enclosed herewith is an executed Power of Attorney by Assignee.

A fee of \$130.00 is believed to be due for the submission of this Response.  
Please charge the required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

A handwritten signature in black ink that appears to read "Paul S. Balancia" followed by the registration number "Reg. No. 45,627".

April 2, 2001  
Date

for Victor N. Balancia

Victor N. Balancia,

Reg. No. 31,231

**PENNIE & EDMONDS LLP**

1667 K Street, N.W.  
Washington, D.C. 20006  
(202) 496-4400